

#### STATEMENT OF ECONOMIC INTERESTS **COVER PAGE**

Date Initial Filing Received Filing Official Use Only

A PUBLIC DOCUMENT

NAME OF FILER ( Whalen	LAST)	(FIRST)	(MIDDLE)
		Robert	J
	ency, or Court		
	e <i>(Do not use acronyms)</i> guna Beach		
Division, Boar	rd, Department, District, if applicable		Your Position
City Coun	cil		Mayor/Councilmember
▶ If filing for	multiple positions, list below or on ar	attachment. (Do not	t use acronyms)
Agency:	guna Beach County Water D	istrict	President, Board of Directors Position:
2. Jurisdicti	on of Office (Check at least on	e box)	
☐ State			<ul> <li>Judge, Retired Judge, Pro Tem Judge, or Court Commissioner (Statewide Jurisdiction)</li> </ul>
Multi-Cou			County of
City of _	aguna Beach		Other
3. Type of S	Statement (Check at least one be	(אכ	
Annual:	The period covered is January 1, 20 December 31, 2020.		Leaving Office: Date Left/(Check one circle.)
-or-	The period covered is/	<i>J</i> , throug	The period covered is January 1, 2020, through the date of leaving office.
Assumin	ng Office: Date assumed/		O The period covered is/, through the date of leaving office.
☐ Candidat	te: Date of Election	and office sou	ght, if different than Part 1:
1. Schedule	Summary (must complete	► Total numb	per of pages including this cover page: 13
	s attached		
Sched	lule A-1 - Investments - schedule att	ached	Schedule C - Income, Loans, & Business Positions - schedule attached
Sched	lule A-2 - Investments - schedule atta	ached	Schedule D - Income - Gifts - schedule attached
■ Sched	lule B - Real Property - schedule atta	ached	Schedule E - Income - Gifts - Travel Payments - schedule attached
-or- □ Nor	10 No reportable interests	ony ochodul-	
5. Verification	ne - No reportable interests on	any schedule	
MAILING ADDRE		CITY	STATE ZIP CODE
(Business or Age 660 Newp	ncy Address Recommended - Public Document) ort Center Drive, Suite 1600		wport Beach CA 92660
1 /	725-4166		EMAIL ADDRESS rwhalen@stradlinglaw.com
I have used all herein and in	Il reasonable diligence in preparing this any attached schedules is true and c	s statement. I have re emplete. I acknowled	eviewed this statement and to the best of my knowledge the information contained lge this is a public document.
			fornia that the foregoing is true and correct.
Date Signed	03/29/2021		DHSA I
Date Signed	(month, day, year)		Signature (File the originally silved paper statement with your files official)

# Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION	
Name Robert J. Whalen	

Against Industrial in	
AbbVie Inc.	Apple Computer
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Biopharmaceutical	Technology
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000	\$2,000 - \$10,000 \$10,001 - \$100,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock Other (Describe)	Stock Other(Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/ <u>/20</u>	// <u>20</u> // <u>20</u>
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY Alphabet	► NAME OF BUSINESS ENTITY Applied Materials, Inc.
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Information Technology	Technology
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000	\$2,000 - \$10,000 \$10,001 - \$100,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock Other	
Stock Other (Describe)	Stock Other
(Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	(Describe)  Partnership O Income Received of \$0 - \$499
(Describe)  Partnership O Income Received of \$0 - \$499	(Describe)  Partnership O Income Received of \$0 - \$499
(Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	(Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
(Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:	(Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:	Partnership   O Income Received of \$0 - \$499     O Income Received of \$500 or More (Report on Schedule C)   IF APPLICABLE, LIST DATE:
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:	Partnership   O Income Received of \$0 - \$499     O Income Received of \$500 or More (Report on Schedule C)   IF APPLICABLE, LIST DATE:
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:	Partnership   O Income Received of \$0 - \$499     O Income Received of \$500 or More (Report on Schedule C)   IF APPLICABLE, LIST DATE:
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:	Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:	Partnership   O Income Received of \$0 - \$499     O Income Received of \$500 or More (Report on Schedule C)   IF APPLICABLE, LIST DATE:
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:	Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:	Partnership   O Income Received of \$0 - \$499     O Income Received of \$500 or More (Report on Schedule C)   IF APPLICABLE, LIST DATE:
Partnership   O Income Received of \$0 - \$499   O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:    J 20	Partnership   O Income Received of \$0 - \$499     O Income Received of \$0 - \$499     O Income Received of \$500 or More (Report on Schedule C, IF APPLICABLE, LIST DATE:
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:	Partnership   O Income Received of \$0 - \$499     O Income Received of \$500 or More (Report on Schedule C)   IF APPLICABLE, LIST DATE:
Partnership   O Income Received of \$0 - \$499   O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:    J 20	Partnership   Income Received of \$0 - \$499     O Income Received of \$500 or More (Report on Schedule C)   IF APPLICABLE, LIST DATE:   J 20

### Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

	CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
,	Name Robert J. Whalen

Pharmaceutical  FAIR MARKET VALUE  \$2,000 - \$10,000  \$100,001 - \$1,000,000  NATURE OF INVESTMENT  Slock  Olincome Received of \$0 - \$499  Olincome Received of \$500 or More (Report on Schedule C)  Partnership  NATURE OF INVESTMENT  FAPPLICABLE, LIST DATE:  J_20  ACQUIRED  NAME OF BUSINESS ENTITY  Caterpillar Inc.  GENERAL DESCRIPTION OF THIS BUSINESS  Equipment Manufacturer  Heavy Equipment  FAIR MARKET VALU  \$2,000 - \$10,000  \$2,000 - \$10,000  \$100,001 - \$1,000,	## \$10,001 - \$100,000    Over \$1,000,000    MENT
Pharmaceutical  FAIR MARKET VALUE  \$2,000 - \$10,000  \$100,001 - \$1,000,000  NATURE OF INVESTMENT  Slock  Olincome Received of \$0 - \$499  Olincome Received of \$500 or More (Report on Schedule C)  Partnership  NATURE OF INVESTMENT  FAPPLICABLE, LIST DATE:  J_20  ACQUIRED  NAME OF BUSINESS ENTITY  Caterpillar Inc.  GENERAL DESCRIPTION OF THIS BUSINESS  Equipment Manufacturer  Heavy Equipment  FAIR MARKET VALU  \$2,000 - \$10,000  \$2,000 - \$10,000  \$100,001 - \$1,000,	### \$10,001 - \$100,000  #### \$10,001 - \$100,000    Over \$1,000,000    MENT
FAIR MARKET VALUE  \$2,000 - \$10,000  \$100,001 - \$1,000,000  NATURE OF INVESTMENT  Stock  Other  (Describe)  Partnership O Income Received of \$0 - \$499  O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:  J_20  ACQUIRED  NAME OF BUSINESS ENTITY  Caterpillar Inc.  GENERAL DESCRIPTION OF THIS BUSINESS  Equipment Manufacturer  FAIR MARKET VALU  \$2,000 - \$10,000  \$100,001 - \$1,000,  \$2,000 - \$10,000  \$100,001 - \$1,000	\$10,001 - \$100,000  Over \$1,000,000  MENT ther  (Describe) come Received of \$0 - \$499 come Received of \$500 or More (Report on Schedule C)  T DATE:
\$2,000 - \$10,000 \$10,001 - \$100,000   \$100,001 - \$1,000,000 \$2,000 - \$10,000   NATURE OF INVESTMENT Stock Other   Partnership O Income Received of \$0 - \$499 NATURE OF INVEST   O Income Received of \$500 or More (Report on Schedule C) Partnership O Income Received of \$500 or More (Report on Schedule C)   IF APPLICABLE, LIST DATE: J_20	\$10,001 - \$100,000  Over \$1,000,000  MENT ther  (Describe) come Received of \$0 - \$499 come Received of \$500 or More (Report on Schedule C)  T DATE:
S100,001 - \$1,000,000	Over \$1,000,000  MENT ther  (Describe) come Received of \$0 - \$499 come Received of \$500 or More (Report on Schedule C)  T DATE:
Stock Other (Describe) Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:    J20	ther(Describe) come Received of \$0 - \$499 come Received of \$500 or More (Report on Schedule C)  T DATE:/20 DISPOSED  S ENTITY
Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:	come Received of \$0 - \$499 come Received of \$500 or More (Report on Schedule C)  T DATE:
ACQUIRED DISPOSED  ACQUIRED  NAME OF BUSINESS ENTITY Caterpillar Inc.  GENERAL DESCRIPTION OF THIS BUSINESS Equipment Manufacturer  ACQUIRED  NAME OF BUSINESS Duke Energy GENERAL DESCRIPT Energy	DISPOSED
Caterpillar Inc.  GENERAL DESCRIPTION OF THIS BUSINESS  Equipment Manufacturer  Energy  Energy	
Equipment Manufacturer Energy	TION OF THIS BUSINESS
FAIR MARKET VALUE	
FAIR MARKET VALUE  \$2,000 - \$10,000  \$100,001 - \$1,000,000  S100,001 - \$1,000,000  FAIR MARKET VALUE  \$2,000 - \$10,000  \$100,001 - \$1,000,000	\$10,001 - \$100,000
NATURE OF INVESTMENT  Stock Other Stock Observed (Conscribe)  NATURE OF INVEST Stock O	ther(Describe)
	come Received of \$0 - \$499 come Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE: IF APPLICABLE, LIST	DATE:
	/ <u>/20</u>
► NAME OF BUSINESS ENTITY ► NAME OF BUSINESS	ENTITY
China Mobile First Trust Intern	nediate Duration Preferred
GENERAL DESCRIPTION OF THIS BUSINESS GENERAL DESCRIPT	TION OF THIS BUSINESS
Telecommunications Investment Fund	t
FAIR MARKET VALUE  \$2,000 - \$10,000  \$100,001 - \$1,000,000  Over \$1,000,000  FAIR MARKET VALUE  \$2,000 - \$10,000  \$100,001 - \$1,000,000  \$100,001 - \$1,000,000	\$10,001 - \$100,000
NATURE OF INVESTMENT  Stock Other Stock Other	ther
— · · · · · · · · · · · · · · · · · · ·	(Describe) some Received of \$0 - \$499 some Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:  01 10	DATE:
ACQUIRED DISPOSED	// <b>20</b> DISPOSED

## Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

	CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION			
S	Name Robert J. Whalen			

NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY
First Trust Stoxx Europe Select Dividend	General Motors
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Investment Fund	Automotive
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$100,000 Over \$1,000,000	\$2,000 - \$10,000
NATURE OF INVESTMENT Stock Other (Describe)	NATURE OF INVESTMENT Stock Other (Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
//20	
NAME OF BUSINESS ENTITY Ford Motor Co.	► NAME OF BUSINESS ENTITY GlaxoSmithKline PLC
GENERAL DESCRIPTION OF THIS BUSINESS Automotive	GENERAL DESCRIPTION OF THIS BUSINESS Pharmaceutical
FAIR MARKET VALUE  \$2,000 - \$10,000 \$10,001 - \$100,000  \$100,001 - \$1,000,000 Over \$1,000,000  NATURE OF INVESTMENT  Stock Other  (Describe)  Partnership O Income Received of \$0 - \$499  O Income Received of \$500 or More (Report on Schedule C)	FAIR MARKET VALUE  \$2,000 - \$10,000 \$10,001 - \$100,000  \$100,001 - \$1,000,000 Over \$1,000,000  NATURE OF INVESTMENT  Stock Other  (Describe)  Partnership O Income Received of \$0 - \$499  Income Received of \$500 or More (Report on Schedule)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Fresca Medical	Grayscale Bitcoin Trust
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Medical Instruments	Cryptocurrency
FAIR MARKET VALUE	FAIR MARKET VALUE \$2,000 - \$10,000
\$2,000 - \$10,000 \$10,001 - \$100,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
\$100,001 - \$1,000,000	Stock Other
\$100,001 - \$1,000,000 Over \$1,000,000  NATURE OF INVESTMENT	NATURE OF INVESTMENT Stock Other (Describe) Partnership O Income Received of \$0 - \$499
\$100,001 - \$1,000,000	NATURE OF INVESTMENT Stock Other (Describe)

### Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

	CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
3	Name Robert J. Whalen

NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Home Depot	I-Shares MSCI Japan Index Fund
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Home Improvement Stores	Exchange Traded Fund
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000 Over \$1,000,000	\$2,000 - \$10,000 \$10,001 - \$100,000 Over \$1,000,000
NATURE OF INVESTMENT Stock Other (Describe)	NATURE OF INVESTMENT Stock Other (Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
NAME OF BUSINESS ENTITY I-Shares MSCI Asia	► NAME OF BUSINESS ENTITY I-Shares MSCI BRIC Index Fund
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Exchange Traded Fund	Exchange Traded Fund
FAIR MARKET VALUE  \$2,000 - \$10,000  \$100,001 - \$1,000,000  Over \$1,000,000	FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock (Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Stock Other (Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED J	
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
I-Shares MSCI Eurozone	I-Shares MSCI Pacific
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Exchange Traded Fund	
FAIR MARKET VALUE  \$2,000 - \$10,000  \$100,001 - \$1,000,000  Over \$1,000,000	FAIR MARKET VALUE  \$2,000 - \$10,000  \$100,001 - \$1,000,000  Over \$1,000,000
NATURE OF INVESTMENT Stock Other	NATURE OF INVESTMENT Stock Other
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	(Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule of \$500)
F APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
//20/ /20	1 / /20 / /20

## Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Investments must be itemized. Do not attach brokerage or financial statements. CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name Robert J. Whalen			

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Johnson & Johnson	mycirqle Inc.
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Medical Products	Online Media
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000	\$2,000 - \$10,000 <b>3</b> \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock Other (Describe)	Stock Other(Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
// <u>20</u>	
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY  Kraft Heinz Company	► NAME OF BUSINESS ENTITY Netflix
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Food Company	Media Services Provider
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000	FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000
NATURE OF UNKNOWN	
	NATURE OF INVESTMENT
NATURE OF INVESTMENT Stock Other	NATURE OF INVESTMENT  Stock Other
A CONTRACTOR OF THE PROPERTY O	
Stock Other (Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:	Stock Other(Describe)  Partnership O Income Received of \$0 - \$499
Stock Other (Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:  04 29	Stock Other (Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:
Stock Other (Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:	Stock Other(Describe)  Partnership Oncome Received of \$0 - \$499 Oncome Received of \$500 or More (Report on Schedule C)
Stock Other (Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:  04 29 /20 / 20	Stock Other (Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:
Stock Other (Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:  04 29 /20 /20 /20 DISPOSED	Stock Other (Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:
Stock Other (Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:  04 29 /20 / 20 / 20 ACQUIRED DISPOSED  NAME OF BUSINESS ENTITY	Stock Other (Describe) Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:
Stock Other (Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:  04 29 ACQUIRED DISPOSED  NAME OF BUSINESS ENTITY  KB Homes	Stock Other (Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:
Stock ☐ Other ☐ (Describe) ☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE: ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐	Stock Other (Describe) Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:
Stock ☐ Other ☐ (Describe) ☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE: ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐	Stock Other (Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:
□ Stock □ Other □ (Describe) □ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE: 04 29 /20 / 20 / 20 / 20 / 20 / 20 / 20 /	Stock Other (Describe) Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:
Stock ☐ Other ☐ (Describe) ☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE: ☐ 29	Stock Other (Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:  // 20 // 2
Stock	Stock
□ Stock □ Other □ (Describe) □ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE: 04 29 20	Stock Other (Describe) Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE:
□ Stock □ Other □ (Describe) □ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE: 04 29 /20	Stock ☐ Other ☐ (Describe) ☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE: ☐ / 20
□ Stock □ Other □ (Describe) □ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE: 04 29 /20	Stock  ☐ Other  ☐ (Describe) ☐ Partnership  ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C)  IF APPLICABLE, LIST DATE: ☐ / 20  ☐ / 20 ☐ ACQUIRED  ☐ DISPOSED  NAME OF BUSINESS ENTITY Pepsico  GENERAL DESCRIPTION OF THIS BUSINESS ☐ Beverage  FAIR MARKET VALUE ☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000 ☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000 ☐ NATURE OF INVESTMENT ☐ Stock ☐ Other ☐ (Describe) ☐ Partnership  ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C)

## Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Investments must be itemized.

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION	
Name Robert J. Whalen	

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Select Sector Financial SPDR Fund	TVC Ventures LP
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Exchange Traded Fund	Investment Fund
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000	\$2,000 - \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock Other(Describe)	Stock Other(Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY  SPDR Sector Utilities ETF	► NAME OF BUSINESS ENTITY Unilever
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Exchange Traded Fund	Consumer Goods
FAIR MARKET VALUE	FAID MARKET VALUE
\$2,000 - \$10,000	FAIR MARKET VALUE \$2,000 - \$10,000 \$10,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock Other	Stock Other
(Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	(Describe)  Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
//20	
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
	Verizon Communications
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
	Telecommunications
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000	\$2,000 - \$10,000 <b>\$10,001 - \$100,000</b>
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Stock Other (Describe)	NATURE OF INVESTMENT  Stock Other (Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	/ /20 / /20
ACQUIRED DISPOSED	ACQUIRED DISPOSED
Comments:	

## Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

	CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION		
S	Name Robert J. Whalen		

► NAME OF BUSINESS ENTITY  Versant Affiliates Fund V, L.P.	► NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Investment Fund	
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000	\$2,000 - \$10,000 \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock Other (Describe)	Stock Other(Describe)
Partnership	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
//20//20	//20//20
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY  Vodafone Group plc	► NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Telecommunications	
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000	\$2,000 - \$10,000 \$10,001 - \$100,000
\$100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock Other(Describe)	Stock Other(Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/ / <b>20</b> 04 / <sup>23</sup> / <b>20</b>	/ /20 / /20
ACQUIRED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000	\$2,000 - \$10,000 \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock Other (Describe)	Stock Other (Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	//20//20
ACQUIRED DISPOSED	ACQUIRED DISPOSED
	Na marana sa
Comments:	

#### **SCHEDULE A-2**

# Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name

Robert J. Whalen

► 1. BUSINESS ENTITY OR TRUST	► 1. BUSINESS ENTITY OR TRUST
Kirsten Jacobs Whalen	Fenway Partners LLC
Name 650 Laguna Canyon Road, Laguna Beach, CA 92651	Name 409 Meyer Farm Drive, Pinehurst, NC 28374
Address (Business Address Acceptable)	Address (Business Address Acceptable)
Check one	Check one
☐ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2	Trust, go to 2 Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF THIS BUSINESS Artist	GENERAL DESCRIPTION OF THIS BUSINESS Investment
FAIR MARKET VALUE	FAIR MARKET VALUE   IF APPLICABLE, LIST DATE:   \$0 - \$1,999   \$2,000 - \$10,000   J_20   J_20   J_20   S10,001 - \$100,000   ACQUIRED   DISPOSED   S100,001 - \$1,000,000   Over \$1,000,000   Over \$1,000,000   ACQUIRED   Limited Liability   Other   Other
YOUR BUSINESS POSITION Spouse	YOUR BUSINESS POSITION member
▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME <u>TO</u> THE ENTITY/TRUST)	▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)
\$0 - \$499 \$10,001 - \$100,000 \$500 - \$1,000 OVER \$100,000	\$0 - \$499 \$10,001 - \$100,000 \$500 - \$1,000 OVER \$100,000
INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)  None or Names listed below	INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)  None or Names listed below
▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST  Check one box:	► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST  Check one box:
☐ INVESTMENT ☐ REAL PROPERTY	☐ INVESTMENT ☐ REAL PROPERTY
Name of Business Entity, if Investment, <u>or</u> Assessor's Parcel Number or Street Address of Real Property	Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property
Description of Business Activity or City or Other Precise Location of Real Property	Description of Business Activity or City or Other Precise Location of Real Property
FAIR MARKET VALUE   IF APPLICABLE, LIST DATE:   \$2,000 - \$10,000	FAIR MARKET VALUE   IF APPLICABLE, LIST DATE:   \$2,000 - \$10,000   \$10,001 - \$1,000,000   ACQUIRED   DISPOSED   Over \$1,000,000
NATURE OF INTEREST  Property Ownership/Deed of Trust  Stock  Partnership	NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership
Leasehold Yrs. remaining Other	Leasehold Other
Check box if additional schedules reporting investments or real property are attached	Check box if additional schedules reporting investments or real property are attached
-	

Comments: .

# SCHEDULE A-2 Investments, Income, and Assets

### of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name
Robert J. Whalen

► 1. BUSINESS ENTITY OR TRUST	► 1. BUSINESS ENTITY OR TRUST
Robert J. Whalen & Kirsten J. Whalen Family Trust	Robert J. Whalen & Kirsten J. Whalen Family Trust
Name 660 Newport Center Drive, Newport Beach, CA 92660	Name
Address (Business Address Acceptable)	660 Newport Center Drive, Newport Beach, CA 92660
Check one	Address (Business Address Acceptable) Check one
■ Trust, go to 2 □ Business Entity, complete the box, then go to 2	■ Trust, go to 2 □ Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE   IF APPLICABLE, LIST DATE:     \$0 - \$1,999     \$2,000 - \$10,000     \$10,001 - \$100,000   ACQUIRED DISPOSED   \$100,001 - \$1,000,000   Over \$1,000,000	FAIR MARKET VALUE   IF APPLICABLE, LIST DATE:  \$0 - \$1,999   \$2,000 - \$10,000  /_/20  /_20    \$10,001 - \$100,000   ACQUIRED   DISPOSED    \$100,001 - \$1,000,000   Over \$1,000,000
NATURE OF INVESTMENT Partnership Sole Proprietorship	NATURE OF INVESTMENT Partnership Sole Proprietorship Other
YOUR BUSINESS POSITION	YOUR BUSINESS POSITION
► 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME <u>TO</u> THE ENTITY/TRUST)	➤ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)
\$0 - \$499 \$\frac{1}{2}\$	\$0 - \$499 \$10,001 - \$100,000 \$500 - \$1,000 \$000 OVER \$100,000
➤ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)	➤ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)
■ None or □ Names listed below	■ None or  Names tisted below
► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST	► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED <u>BY</u> THE BUSINESS ENTITY OR TRUST
Check one box:  INVESTMENT  REAL PROPERTY	Check one box:  INVESTMENT REAL PROPERTY
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property 125 Speedboat Ave., Kings Beach, CA 96143	Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property 2463 San Antonio, Carmel, CA 93923
Description of Business Activity <u>or</u> City or Other Precise Location of Real Property	Description of Business Activity or City or Other Precise Location of Real Property
FAIR MARKET VALUE   IF APPLICABLE, LIST DATE:   \$2,000 - \$10,000	FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  \$2,000 - \$10,000  \$10,001 - \$100,000  \$10,001 - \$1,000,000  ACQUIRED DISPOSED  Over \$1,000,000
NATURE OF INTEREST  Property Ownership/Deed of Trust Stock Partnership	NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership
Leasehold Other	Leasehold Other
Check box if additional schedules reporting investments or real property are attached	Check box if additional schedules reporting investments or real property are attached

Comments: .

#### **SCHEDULE A-2**

# Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name Robert J. Whalen

► 1. BUSINESS ENTITY OR TRUST	► 1. BUSINESS ENTITY OR TRUST
SYCR Investment Partnership of 1982	
Name 660 Newport Center Drive, Newport Beach, CA 92660	Name
Address (Business Address Acceptable)	Address (Business Address Acceptable)
Check one ☐ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2	Check one  Trust, go to 2  Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF THIS BUSINESS	
Investment Partnership	GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  \$0 - \$1,999  \$2,000 - \$10,000  \$10,001 - \$100,000  ACQUIRED DISPOSED	FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  \$0 - \$1,999 \$2,000 - \$10,000 \$2,000 - \$10,000
S10,001 - \$100,000 ACQUIRED DISPOSED  \$100,001 - \$1,000,000  Over \$1,000,000	\$10,001 - \$100,000 ACQUIRED DISPOSED \$100,001 - \$1,000,000  Over \$1,000,000
NATURE OF INVESTMENT Partnership Sole Proprietorship Other	NATURE OF INVESTMENT Partnership Sole Proprietorship Other
YOUR BUSINESS POSITION Limited Partner	YOUR BUSINESS POSITION
► 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME <u>TO</u> THE ENTITY/TRUST)	➤ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)
\$0 - \$499 \$10,001 - \$100,000 \$500 - \$1,000 OVER \$100,000 \$1,001 - \$10,000	\$0 - \$499 \$10,001 - \$100,000 \$500 - \$1,000 OVER \$100,000
3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet of necessary.)      None or Names listed below	3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Atrach a separate sheet dinecessary.)      None or Names listed below
TVC Ventures LP Versant Affiliates Fund V	
► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST  Check one box:	➤ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST  Check one box:
☐ INVESTMENT ☐ REAL PROPERTY	☐ INVESTMENT ☐ REAL PROPERTY
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property	Name of Business Entity, if Investment, <u>or</u> Assessor's Parcel Number or Street Address of Real Property
Description of Business Activity or City or Other Precise Location of Real Property	Description of Business Activity or City or Other Precise Location of Real Property
FAIR MARKET VALUE   IF APPLICABLE, LIST DATE:   \$2,000 - \$10,000	FAIR MARKET VALUE   IF APPLICABLE, LIST DATE:   \$2,000 - \$10,000   \$100,001 - \$1,000,000   ACQUIRED DISPOSED   Over \$1,000,000
NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership	NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership
Leasehold Other	Leasehold Other
Check box if additional schedules reporting investments or real property are attached	Check box if additional schedules reporting investments or real property are attached

Comments: ..

### **SCHEDULE B** Interests in Real Property (Including Rental Income)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION	
Name Robert J. Whalen	

assessor's parcel number or street address 125 Speedboat	► ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS 2463 San Antonio
сіту Kings Beach, CA 96143	CITY Carmel, CA 93923
FAIR MARKET VALUE   IF APPLICABLE, LIST DATE:   \$2,000 - \$10,000	FAIR MARKET VALUE   IF APPLICABLE, LIST DATE:   \$2,000 - \$10,000
NATURE OF INTEREST	NATURE OF INTEREST
Ownership/Deed of Trust	Ownership/Deed of Trust Easement
Leasehold Other	Leasehold Other
IF RENTAL PROPERTY, GROSS INCOME RECEIVED	IF RENTAL PROPERTY, GROSS INCOME RECEIVED
\$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000	\$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000
\$10,001 - \$100,000 OVER \$100,000	\$10,001 - \$100,000 OVER \$100,000
SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of	SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of
You are not required to report loans from a commercial business on terms available to members of the public	income of \$10,000 or more.  None  Il lending institution made in the lender's regular course of without regard to your official status. Personal loans and
income of \$10,000 or more.  None  You are not required to report loans from a commercia	income of \$10,000 or more.  None  Il lending institution made in the lender's regular course of without regard to your official status. Personal loans and
You are not required to report loans from a commercia business on terms available to members of the public loans received not in a lender's regular course of business	income of \$10,000 or more.  None  Il lending institution made in the lender's regular course of without regard to your official status. Personal loans and ness must be disclosed as follows:
You are not required to report loans from a commercial business on terms available to members of the public loans received not in a lender's regular course of business of LENDER*  ADDRESS (Business Address Acceptable)	income of \$10,000 or more.  None  Il lending institution made in the lender's regular course of without regard to your official status. Personal loans and ness must be disclosed as follows:  NAME OF LENDER*
You are not required to report loans from a commercial business on terms available to members of the publiculoans received not in a lender's regular course of business of Lender*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER	income of \$10,000 or more.  None  Il lending institution made in the lender's regular course of without regard to your official status. Personal loans and ness must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)
You are not required to report loans from a commercial business on terms available to members of the public loans received not in a lender's regular course of business (Business Address Acceptable)  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER	income of \$10,000 or more.  None  Il lending institution made in the lender's regular course of without regard to your official status. Personal loans and ness must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER
You are not required to report loans from a commercial business on terms available to members of the public loans received not in a lender's regular course of business (Business Address Acceptable)  BUSINESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  TERM (Months/Years)	income of \$10,000 or more.  None  Il lending institution made in the lender's regular course of without regard to your official status. Personal loans and ness must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  INTEREST RATE  None  None
You are not required to report loans from a commercia business on terms available to members of the public loans received not in a lender's regular course of busin NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  NTEREST RATE  TERM (Months/Years)  HIGHEST BALANCE DURING REPORTING PERIOD	income of \$10,000 or more.  None  Il lending institution made in the lender's regular course of without regard to your official status. Personal loans and ness must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  INTEREST RATE  TERM (Months/Years)  None  HIGHEST BALANCE DURING REPORTING PERIOD
You are not required to report loans from a commercial business on terms available to members of the public loans received not in a lender's regular course of business (Business Address Acceptable)  BUSINESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  INTEREST RATE  TERM (Months/Years)  Whone  HIGHEST BALANCE DURING REPORTING PERIOD  \$500 - \$1,000	income of \$10,000 or more.  None  Highest Balance During Reporting Period  \$500 - \$1,000  \$1,001 - \$10,000
You are not required to report loans from a commercia business on terms available to members of the public loans received not in a lender's regular course of busin NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  INTEREST RATE TERM (Months/Years)  ———————————————————————————————————	income of \$10,000 or more.  None  Il lending institution made in the lender's regular course of without regard to your official status. Personal loans and ness must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  INTEREST RATE TERM (Months/Years)  None  HIGHEST BALANCE DURING REPORTING PERIOD

### SCHEDULE C Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

CALIFORNIA FORM	
Name	
Robert J. Whalen	

	► 1. INCOME RECEIVED
Stradling Yocca Carlson & Rauth P.C.	NAME OF SOURCE OF INCOME
ADDRESS (Business Address Acceptable) 660 Newport Center Drive, Newport Beach, CA 92660	ADDRESS (Business Address Acceptable)
BUSINESS ACTIVITY, IF ANY, OF SOURCE Law Firm	BUSINESS ACTIVITY, IF ANY, OF SOURCE
YOUR BUSINESS POSITION Attorney	YOUR BUSINESS POSITION
GROSS INCOME RECEIVED No Income - Business Position Only  \$500 - \$1,000 \$1,001 - \$10,000  \$10,001 - \$100,000 OVER \$100,000	GROSS INCOME RECEIVED No Income - Business Position Or \$1,000 \$1,001 - \$10,000 OVER \$100,000
CONSIDERATION FOR WHICH INCOME WAS RECEIVED  Salary Spouse's or registered domestic partner's income  (For self-employed use Schedule A-2.)	CONSIDERATION FOR WHICH INCOME WAS RECEIVED  Salary Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)
Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)	Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)
Sale of(Real property, car, boat, etc.)	Sale of
Loan repayment	(Real property, car, boat, etc.)
Commission or Rental Income, list each source of \$10,000 or more	Commission or Rental Income, list each source of \$10,000 or mon
	(Describe)
(Describe)	(Describe)
Other	Other
	Other(Describe)
Other	Cother (Describe)  ERIOD  lending institution, or any indebtedness created as part on the lender's regular course of business on terms available status. Personal loans and loans received not in a lender
* You are not required to report loans from a commercial a retail installment or credit card transaction, made in the to members of the public without regard to your official seregular course of business must be disclosed as follows.  NAME OF LENDER*	Cother (Describe)  ERIOD  lending institution, or any indebtedness created as part of the lender's regular course of business on terms available status. Personal loans and loans received not in a lender status.
Other	Cother (Describe)  ERIOD  Ilending institution, or any indebtedness created as part of the lender's regular course of business on terms available status. Personal loans and loans received not in a lender status.  INTEREST RATE  TERM (Months/Years)
* You are not required to report loans from a commercial a retail installment or credit card transaction, made in the to members of the public without regard to your official seregular course of business must be disclosed as follows.  NAME OF LENDER*	Cother (Describe)  ERIOD  Ilending institution, or any indebtedness created as part of the lender's regular course of business on terms available status. Personal loans and loans received not in a lender is:  INTEREST RATE  None  None
* You are not required to report loans from a commercial a retail installment or credit card transaction, made in the to members of the public without regard to your official seregular course of business must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)	Other
* You are not required to report loans from a commercial a retail installment or credit card transaction, made in the to members of the public without regard to your official seregular course of business must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER	Contraction   Co
* You are not required to report loans from a commercial a retail installment or credit card transaction, made in the to members of the public without regard to your official seregular course of business must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)	Control  Con
* You are not required to report loans from a commercial a retail installment or credit card transaction, made in the to members of the public without regard to your official sergular course of business must be disclosed as follows:  **NAME OF LENDER**  **ADDRESS (Business Address Acceptable)**  **BUSINESS ACTIVITY, IF ANY, OF LENDER**  **HIGHEST BALANCE DURING REPORTING PERIOD**	City
Other (Describe)  2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING P  * You are not required to report loans from a commercial a retail installment or credit card transaction, made in the to members of the public without regard to your official sergular course of business must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  HIGHEST BALANCE DURING REPORTING PERIOD  \$500 - \$1,000	Control  Con
Other (Describe)  2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING P  * You are not required to report loans from a commercial a retail installment or credit card transaction, made in the to members of the public without regard to your official sergular course of business must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  HIGHEST BALANCE DURING REPORTING PERIOD  \$500 - \$1,000	City